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Complying with the UK Sanctions Regime - Guidance [https://higher-rights.sra.org.uk/solicitors/guidance/financial-sanctions-regime/]

1 May 2025

This guidance is to help you understand your obligations and how to comply with them. We will have regard to it when exercising our regulatory functions

Sham litigation - Guidance [https://higher-rights.sra.org.uk/solicitors/guidance/sham-litigation/]

13 January 2025

This guidance aims to bring attention to the potential threat posed by sham litigation within the legal sector, so that lawyers can take appropriate steps to protect themselves and their firms.

Sanctions regime - firm-wide risk assessments - Guidance [https://higher-rights.sra.org.uk/solicitors/guidance/sanctions-regime-firm-wide-risk-assessments/]

23 January 2024

This guidance is aimed to help firms assess your exposure to risks associated with the UK's sanctions regime.

Client and matter risk assessments - Warning notice [https://higher-

rights.sra.org.uk/solicitors/guidance/client-and-matter-risk-assessments/]

18 October 2023

To help you understand your obligations and how to comply with them. We may have regard to it when exercising our regulatory functions

Proceeds of crime - Guidance [https://higher-rights.sra.org.uk/solicitors/guidance/proceeds-crime-guidance/]

25 September 2023

How to prevent financial crime, understand the UK's proceeds of crime regime and what you need to do to comply with it.

Firm-wide risk assessments - Guidance [https://higher-rights.sra.org.uk/solicitors/guidance/firm-risk-assessments/]

21 September 2023

Understand how firms subject to the money laundering regulations must comply with the requirement to have a firm wide risk assessment under regulation 18.

Money laundering and terrorist financing suspicious activity reports - Warning notice [https://higher-rights.sra.org.uk/solicitors/guidance/money-laundering-terrorist-financing-suspicious-activity-reports/]

25 November 2019

This Warning Notice reminds you of some of the key requirements to have in place adequate controls to prevent, detect and report money laundering and to make suspicious activity reports (SARs).

Compliance with the money laundering regulations – firm risk assessment - Warning notice [https://higher-rights.sra.org.uk/solicitors/guidance/compliance-money-laundering-regulations-firm-risk-assessment/]

25 November 2019

This Warning Notice reminds you of your legal obligations to make sure that you do not facilitate money laundering or terrorist financing and understand your obligations under the money laundering regulations.

Money laundering - Case studies [https://higher-rights.sra.org.uk/solicitors/guidance/money-laundering/]

25 November 2019

These case studies illustrate the importance of having a consistent approach to compliance with the money laundering regulations throughout your entire firm.

The Money Laundering, Terrorist Financing and Transfer of Funds - Guidance

[https://higher-rights.sra.org.uk/solicitors/guidance/money-laundering-terrorist-financing-transfer-funds-information-payer-regulations-2017/]

25 November 2019

To help all those we regulate understand their obligations under the Money Laundering Regulations.

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